

Transporter Inspection Checklist

Transporter Name

Identification Number

Registration Number

Transporter Address

Date(s) Inspected

Inspected By

**Department of Toxic Substances Control
INSPECTION POLICY AND PROCEDURE
#EO-02-006-PP**

Table of Contents

DISCLAIMER	2
TRANSPORTER REQUIREMENTS	2
CONSOLIDATED MANIFESTING REQUIREMENTS	4
CONTACT	6

DISCLAIMER

This checklist is intended to provide guidance only. This checklist does not replace or supersede relevant statutes and regulations. The information contained in this checklist is based upon the statutes and regulations in effect as of the date the policy and procedure was signed. Interested parties are advised to keep apprised of subsequent changes to relevant statutes and regulations.

TRANSPORTER REQUIREMENTS

Note: All citation references are to the California Code of Regulations, title 22, division 4.5 unless otherwise indicated.

1. ☐ Transported hazardous waste without an Identification Number and a registration certificate. [66263.17(a)] and Health & Safety Code, section [25163(a)]
2. ☐ Failed to have a valid registration in his or her possession while transporting the hazardous waste. [Health & Safety Code, section 25163(a)(2)]
3. ☐ Hazardous wastes were held for more than six days or more than ten days in areas zoned industrial by the local planning authority. (66263.18)
4. ☐ Accepted hazardous waste from a generator without a completed and signed manifest. [66263.20(a)]
5. ☐ Failed to complete, sign and date the Transporter of Waste section of the manifest acknowledging acceptance of the hazardous waste from the generator. [66263.20(b)]

Department of Toxic Substances Control
INSPECTION POLICY AND PROCEDURE
#EO-02-006-PP

6. ☐ Failed to return a signed copy of the manifest to the generator prior to removal of the waste from the generator's facility. [66263.20(b)]
7. ☐ Failed to ensure that the manifest accompanies the hazardous waste. [66263.20(c)]
8. ☐ Failed to have a manifest while transporting the hazardous waste. [66263.20(d)] and Health & Safety Code, section [25160(d)]
9. ☐ Failed to release the manifest to the owner or operator of the hazardous waste facility accepting the waste. [66263.20(d)]
10. ☐ Failed to have a manifest with the Generator of Waste and Transporter of Waste sections completed during transport of hazardous wastes into and out of the State of California. [66263.20(e)]
11. ☐ Failed to submit a completed copy of the manifest to DTSC within 15 days after the hazardous waste was accepted by the designated facility on the manifest. [66263.20(f)]
12. ☐ Failed to obtain the date of delivery and signature of the other transporter or of the owner or operator of the designated facility on the manifest after delivery of the hazardous waste. [66263.20(g)(1)]
13. ☐ Failed to retain one copy and give the remaining copies of the manifest to the other transporter or designated facility. [66263.20(g)(2)&(3)]
14. For transporters who transport hazardous waste out of the United States from the State of California:
 - a. ☐ Failed to indicate on the manifest the date the hazardous waste left. [66263.20(j)(1)]
 - b. ☐ Failed to sign the manifest and retain one copy. [66263.20(j)(2)]
 - c. ☐ Failed to return a signed copy of the manifest to the generator. [66263.20(j)(3)]
 - d. ☐ Failed to give a copy of the manifest to a U.S. Customs official at the point of departure from the U.S. for RCRA hazardous wastes. [66263.20(j)(4)]
15. ☐ Failed to deliver the entire quantity of the hazardous waste to the designated facility listed on the manifest or the alternate designated facility, or the next designated transporter, or the place outside the U.S. designated by the generator. [66263.21(a)]
16. ☐ Failed to contact the generator for further instructions for undeliverable hazardous wastes. [66263.21(b)]
17. ☐ Failed to sign and keep a copy of the manifest for three years from the date the hazardous waste was accepted by the initial transporter. (66263.22)

Department of Toxic Substances Control
INSPECTION POLICY AND PROCEDURE
#EO-02-006-PP

18. ☐ Failed to comply with applicable requirements governing containers, packing, labels, marking, vehicle placards, shipping papers, loading, shipping certificates and incident reporting. [66263.23(a)]
19. ☐ Delivered hazardous waste to a facility not authorized to receive the waste. [66263.23(b)]
20. ☐ Failed to use a covered container to transport hazardous wastes that are subject to volatilization or dispersal by wind. [66263.23(c)]
21. ☐ Failed to have the name or trademark of the firm on each side of the vehicle or container that is legible from a distance of 50 feet. [66263.23(d)]
22. Failed to notify DTSC within 30 days of the following occurrences:
 - a. ☐ Changes in majority ownership, name or location. [66263.15(a)(1)]
 - b. ☐ Changes in ownership or control of a vehicle or container certified by DTSC. [66263.15(a)(2)]
 - c. ☐ Involvement of a vehicle or container in any spill or accident. [66263.15(a)(3)]
23. ☐ Failed to immediately notify DTSC upon notice of loss of the liability coverage. [66263.15(b)]
24. ☐ Transported hazardous waste without liability coverage. [66263.15(b)]
25. ☐ Transported RCRA hazardous wastes operating under a Regulatory Exemptions variance. [66263.40(b)]
26. ☐ Transported extremely hazardous waste operating under a Regulatory Exemptions variance. [66263.40(c)]
27. ☐ Failed to send a copy of the variance to the generator prior to operating under the variance. [66263.41(g)]
28. ☐ Failed to report an accident to DTSC ten days after the incident while operating under a Regulatory Exemptions variance. [66263.41(h)]
29. ☐ Transported hazardous waste from abandoned site without complying with all conditions. (25169.3)

CONSOLIDATED MANIFESTING REQUIREMENTS

Note: All citation references are to the Health and Safety Code unless otherwise indicated.

1. ☐ Transported hazardous wastes under the consolidated manifesting procedure without notifying DTSC. [25165(a)]

Department of Toxic Substances Control
INSPECTION POLICY AND PROCEDURE
#EO-02-006-PP

2. ☐ Transported hazardous wastes without a copy of their registration certificate with a DTSC-signed consolidated notification form attached. [25163(a)(2)]
3. ☐ Accepted ineligible hazardous wastes. [25160.2(c)]
4. ☐ Accepted hazardous wastes from ineligible generators. [25160.2(c)]
5. ☐ Accepted RCRA hazardous wastes from generators of more than 100 kilograms but less than 1,000 kilograms per calendar month of RCRA hazardous wastes exempt from the RCRA manifesting requirements without a reclamation agreement with the generator. [40 C.F.R. section 262.20(e)]
6. ☐ Failed to have a manifest in his or her possession while transporting hazardous wastes. [25160(d)(1)]
7. ☐ Failed to complete a separate manifest by each vehicle driver with respect to each transport vehicle operated by that driver for each date. [25160.2(b)(1)]
8. ☐ Failed to complete both the generator's and the transporter's section of the manifest prior to commencing each day's collections. [25160.2(b)(2)]
9. ☐ Failed to attach to the front of the manifest legible receipts for each quantity of hazardous waste that is received from a generator. [25160.2(b)(3)]
10. ☐ Incomplete information on the receipt. [25160.2(b)(4)]
11. ☐ Failed to enter the total volume at the change of each date, driver, or vehicle (to close the manifest). [25160.2(b)(5)]
12. ☐ Failed to leave a copy of the receipt with the generator of the hazardous waste. [25160.2(b)(3)]
13. ☐ Failed to submit the generator copy of the manifest to DTSC within 30 days of each shipment (Transporters are exempt from submitting the generator copy to DTSC if the generator, transporter and facility are all identified as the same company on the manifest). [25160.2(b)(6)]
14. ☐ Failed to submit all copies of the manifest to the designated facility. [25160.2(b)(8)]
15. ☐ Failed to include all of the company's identification numbers used with the consolidated manifest procedure in its annual transporter registration application. [25160(b)(5)(A)]
16. ☐ Failed to keep a copy of the manifest and all receipts for each manifest for three years. [25160.2(b)(7)]
17. ☐ Transporter failed to enter into an agreement with the generator in which the transporter agrees to submit a confirmation to the generator that the hazardous waste was transported to an authorized hazardous waste treatment facility. [25160.2(c)(2)(C)]

**Department of Toxic Substances Control
INSPECTION POLICY AND PROCEDURE
#EO-02-006-PP**

- 18. ☐ Failed to submit quarterly reports to DTSC on time. [25160.2(d)]
- 19. ☐ Failed to provide information on the annual questionnaire to verify accuracy of hazardous waste activity data. [25205.16(c)]

CONTACT:

Hazardous Waste Management Program
Statewide Compliance Division
Department of Toxic Substances Control
P.O. Box 806
Sacramento, California 95812-0806
(916) 322-0349 (916) 324-7193

OR John Galicia
(916) 255-4367
jgalicia@dtsc.ca.gov